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Approved through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Express Mail No: ET 473 9755 65 U  
Date: September 3, 2002  
Patent fees are subject to annual revision.

Complete if Known

Application Number 09/728,653  
Filing Date December 1, 2000  
First Named Inventor Han et al  
Examiner Name D. Lukton  
Group / Art Unit 1653

RECEIVED

SEP 11 2002

TOTAL AMOUNT OF PAYMENT (\$ 110.00

Attorney Docket No. PH-7118

TECH CENTER 1600/2900

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

☒ Deposit Account Number

193880

☒ Deposit Account Name

Bristol-Myers Squibb Company

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity | Small Fee Code | Entity | Fee (\$) | Fee (\$) | Fee Description        | Fee Paid |
|----------------|--------|----------------|--------|----------|----------|------------------------|----------|
| 101            |        | 740            | 201    | 355      |          | Utility filing fee     |          |
| 106            |        | 330            | 206    | 160      |          | Design filing fee      |          |
| 107            |        | 510            | 207    | 245      |          | Plant filing fee       |          |
| 108            |        | 740            | 208    | 355      |          | Reissue filing fee     |          |
| 114            |        | 160            | 214    | 75       |          | Provisional filing fee |          |
| SUBTOTAL (1)   |        |                |        |          |          |                        | (\$)     |

### 2. EXTRA CLAIM FEES

|                    |  |       |   |   |   |  |   |   |
|--------------------|--|-------|---|---|---|--|---|---|
| Total Claims       |  | -20** | = |   | X |  | = |   |
| Independent Claims |  | -3**  | = | 0 | X |  | = | 0 |
| Multiple Dependent |  |       |   |   | X |  | = | 0 |

| Large Fee Code | Entity | Small Fee Code | Entity | Fee (\$) | Fee (\$) | Fee Description  |
|----------------|--------|----------------|--------|----------|----------|--|
| 103            |        | 18             | 203    | 9        |          | Claims in excess of 20                                     |
| 102            |        | 84             | 202    | 40       |          | Independent claims in excess of 3                          |
| 104            |        | 280            | 204    | 135      |          | Multiple dependent claim, if not paid                      |
| 109            |        | 84             | 209    | 40       |          | ** Reissue independent claims over original patent         |
| 110            |        | 18             | 210    | 9        |          | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code | Entity | Small Fee Code | Entity | Fee (\$) | Fee (\$) | Fee Description  | Fee Paid |
|----------------|--------|----------------|--------|----------|----------|--|----------|
| 105            | 130    | 205            | 65     |          |          | Surcharge - late filing fee or oath  |          |
| 127            | 50     | 227            | 25     |          |          | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139            | 130    | 139            | 130    |          |          | Non-English specification  |          |
| 147            | 2,520  | 147            | 2,520  |          |          | For filing a request for ex parte reexamination                            |          |
| 112            | 920*   | 112            | 920*   |          |          | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840* | 113            | 1,840* |          |          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110    | 215            | 55     |          |          | Extension for reply within first month                                     | 110      |
| 116            | 400    | 216            | 195    |          |          | Extension for reply within second month                                    |          |
| 117            | 920    | 217            | 445    |          |          | Extension for reply within third month                                     |          |
| 118            | 1,440  | 218            | 695    |          |          | Extension for reply within fourth month                                    |          |
| 128            | 1,960  | 228            | 945    |          |          | Extension for reply within fifth month                                     |          |
| 119            | 310    | 219            | 155    |          |          | Notice of Appeal   |          |
| 120            | 310    | 220            | 155    |          |          | Filing a brief in support of an appeal                                     |          |
| 121            | 270    | 221            | 135    |          |          | Request for oral hearing   |          |
| 138            | 1,510  | 138            | 1,510  |          |          | Petition to institute a public use proceeding                              |          |
| 140            | 110    | 240            | 55     |          |          | Petition to revive - unavoidable   |          |
| 141            | 1,240  | 241            | 620    |          |          | Petition to revive - unintentional   |          |
| 142            | 1,240  | 242            | 620    |          |          | Utility issue fee (or reissue)   |          |
| 143            | 440    | 243            | 220    |          |          | Design issue fee   |          |
| 144            | 600    | 244            | 300    |          |          | Plant issue fee  |          |
| 122            | 130    | 122            | 130    |          |          | Petitions to the Commissioner  |          |
| 123            | 130    | 123            | 50     |          |          | Petitions related to provisional applications                              |          |
| 126            | 240    | 126            | 240    |          |          | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40     | 581            | 40     |          |          | Recording each patent assignment per property (times number of properties) |          |
| 146            | 710    | 246            | 355    |          |          | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 710    | 249            | 355    |          |          | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 (RCE)      | 710    | 279            | 355    |          |          | Request for Continued Examination  |          |
| 169            | 900    | 169            | 900    |          |          | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 110.00

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type)

Scott K. Larsen

Registration No. Attorney/Agent

38,532

Telephone

302-467-5261

Signature

Date

Sept 3, 2002

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Patent Application Docket No.: PH-7188

Application No. (if obtained) 09/728,653

filed December 1, 2000

Documents enclosed:

- ☒ Response/Amendment to Rest. Requirement
- ☒ Sequence Listing
- ☒ Copy of Sequence Listing in computer readable form
- ☒ Statement regarding Sequence Listing
- ☒ Copy of Office Action dated 7/2/2002
- ☒ Substitute Pages 171-179
- ☒ One-Month Extension of Time
- ☒ Fee Transmittal Sheet
- ☒ Postcard

Express mail mailing label number: ET 473975565US

Date of Deposit: September 3, 2002

I hereby certify that the enclosed document(s) and fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Diane C. Pickering/Depositor

(Typed or printed name of person mailing paper or fee)

*Diane C Pick*